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PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/659,834
		Filing Date	September 10, 2003
		First Named Inventor	Tamio Saito, et al.
		Art Unit	N/A
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	1	Attorney Docket Number	LA-7167-102US.US

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	- Foreign patents - PTO/SB/08B - POSTCARD
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	FULBRIGHT & JAWORSKI L.L.P. John May
Signature	
Date	February 27, 2004

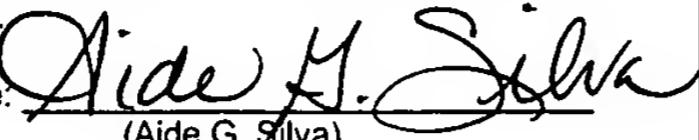
#### Transmittal of Information Disclosure Statement

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Dated: February 27, 2004

Signature: (Aide Silva)



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Dated: February 27, 2004    Signature:   
(Aide G. Silva)

Docket No.: LA-7167-102US.US  
(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:	)
Tamio Saito et al.	)
Application No.: 10/659,834	) Confirmation No.: 5947
Filed: September 10, 2003	) Art Unit: N/A
For: <b>SECURE BIOMETRIC VERIFICATION OF IDENTIFY</b>	) Examiner: Not Yet Assigned

### INFORMATION DISCLOSURE STATEMENT (IDS)

Mail Stop: IDS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed, as far as is known to the undersigned, before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)). No certification or fee is required.

A copy of each foreign reference and article on the PTO/SB/08 is attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this

Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

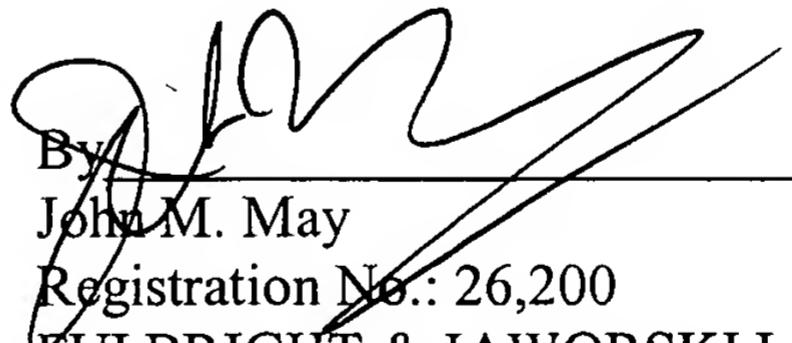
A concise explanation of relevance of the items listed on form PTO/SB/08 is:

- [ X] not given
- [ ] given for each listed item
- [ ] given for only non-English language listed items
- [ ] in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0337, under Order No. LA-7167-102US.US. A duplicate copy of this paper is enclosed.

Dated: February 27, 2004

Respectfully submitted,

  
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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/659,834
Sheet	1	of	2	Filing Date	September 10, 2003
				First Named Inventor	Tamio Saito, et al.
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	LA-7167-102US.US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
AA	US-3,383,657		05-14-1968	CLAASSEN, ET AL.	
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AC1	US-6,094,589		07-25-2000	SCHMITT	
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AF1	US-US 6,320,975 B1		11-20-2001	VIEWEG	
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AH1	US-US 6,335,688 B1		01-01-2002	SWEATTE	
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AJ1	US-US 6,441,482 B1		08-27-2002	FOSTER	
AK1	US-US 6,539,101 B1		03-25-2003	BLACK	
AL1	US-US 6,547,130 B1		04-15-2003	SHEN	
AM1	US-US 6,581,712 B1		06-24-2003	NATHANS	
AN1	US-US2001/0033220 A1		10-25-2001	STONE, ET AL.	PUBLICATION

Examiner Signature		Date Considered	
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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO				Complete if Known	
				Application Number	10/659,834
				Filing Date	September 10, 2003
				First Named Inventor	Tamio Saito, et al.
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	LA-7167-102US.US

	AO1	US-US2002/0028003 A1	03-07-2002	KREBS, ET AL.	PUBLICATION
	AP1	US-US2002/0083022 A1	06-27-2002	ALGAZI	PUBLICATION
	AQ	US-US2002/0095587 A1	07-18-2002	DOYLE, ET AL.	PUBLICATION
	AR1	US-US2002/0100802 A1	08-01-2002	SEHR	PUBLICATION
	AS1	US-US2002/0118096 A1	08-29-2002	HOYOS, ET AL.	PUBLICATION
	AT1	US-US2003/0085286 A1	05-08-2003	KELLEY, ET AL.	PUBLICATION

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)			
BA	WO 98/01820		01-15-1998	ELBAUM	
BB	WO 01/35334 A1		05-17-2001	LI	
BC	WO 01/59686 A1		08-16-2001	SHAHAR	
BC	WO 02/01328 A2		01-03-2002	HASBUN	
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BG	-EP 0 864 996 AZ		09-16-1998	HITACHI, LTD.	
BH	-EP 0 923 018 AZ		06-16-1998	NEC CORPORATION	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
	CA	Financial Technology Insight, August 1994, pg. 5, "INTAG'S SMARTCARD SET TO INCORPORATE BIOMETRICS", By Frank Rees			

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Examiner Signature		Date Considered
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Application No. (if known): 10/659834

Attorney Docket No.: LA-7167-102US.US

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Date



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Signature

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-- IDS (Citation) by Applicant